# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: SUN1P367/P4132

Examiner: Charles E. Anya

Application No.: 09/333,591

Group: 2126 Filed: June 14, 1999

Confirmation No.: 4277 Title: WEB-BASED ENTERPRISE MANAGEMENT WITH MULTIPLE

REPOSITORY CAPABILITY

In re application of: Davis et al.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 28, 2005.

Signed

#### INFORMATION DISCLOSURE STATEMENT WITH STATEMENT UNDER 37 CFR 881.56 AND 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding EP application. A copy of the International Search Report which was dated December 17,2004 is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art. 500380

/21/2005 SDIRETA1 00000001 500388 tment Date: 06/21/2005 09333591 12/2005 AJONES3 180.00 CR 01 FC:1806

Docket No.: SUN1P367

AUUNES3 60600001 Serial Number: 09/3333,591

Page 1



## TED STATES PATENT AND TRADEMARK OFFICE

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Attorney Docket No.: SUN1P367/P4132

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Group: 2126

Title: WEB-BASED ENTERPRISE

Confirmation No.: 4277

MANAGEMENT WITH MULTIPLE

REPOSITORY CAPABILITY

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on May 9, 2005 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450

Alexandria, VA 22313/1450.

## REQUEST FOR REFUND (IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Mail Stop 17 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account 500388 shown on the statement dated April 2005 (Control No. SUN1P367/P41) for the above-identified patent. A copy of the monthly statement in which the error referred to occurs, accompanies this request.

#### II. FEES CHARGED FOR WHICH REFUND REQUESTED

04/12 1 09333591 SUN1P367/P41 1806 \$180.00

#### **EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

Applicants filed an Information Disclosure Statement on January 28, 2005, based on a December 14, 2004 European Search Report in the corresponding EP application 00304971.5-2211.

37 CFR §1.97(c) states:

"An information disclosure statement shall be considered by the Office if filed after the period specified in paragraph (b) of this section, provided that the

information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by one of:

- (1) The statement specified in paragraph (e) of this section; or
- (2) The fee set forth in § 1.17(p)."

Since the applicant included the statement specified in paragraph (e)(1) of 37 CFR §1.97, and the application was not under final rejection (see attached PAIR printout), it is respectfully submitted that no fee was due. Applicants respectfully request a refund of \$180.00 Information Disclosure Statement fee.

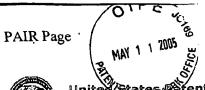
#### IV. MANNER OF REFUND

Please make refund by crediting Account No. 500388.

Respectfully submitted, BEYER WEAVER & THOMAS, LLP

Alan S. Hodes Registration No.

P.O. Box 70250 Oakland, CA 94612-0250





United States Stent and Trademark Office

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# PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 5-9-2005::14:52:32 E.T.

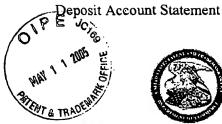
Se	arch results for application number: 09/333,591				
Application Number:	09/333,591	Customer Number:	22434		
Filing or 371(c) Date:	06-14-1999	Status:	Response to Non-Final Office Action Entered and Forwarded to Examiner		
Application Type:	Utility	Status Date:	04-07-2005		
Examiner Name:	ANYA, CHARLES E	Location:	ELECTRONIC		
Group Art Unit:	2194	Location Date:	<b>-</b>		
Confirmation Number:	4277	Earliest Publication No:			
Attorney Docket Number:	·	Earliest Publication Date:			
Class/ Sub- Class:	709/310	Patent Number:	-		
First Named Inventor:	amed JAMES D. DAVIS, Issue Date of PEPPERELL, MA (US)				
	WEB-BASED ENTERPRISE MANAGEMENT WITH MULTIPLE RESPOSITORY CAPABILITY				

#### **Search Options**

Assignments
Display References
Image File Wrapper
Publication Review

	File History
Date	Contents Description
01-31-2005	Information Disclosure Statement (IDS) Filed
04-07-2005	Date Forwarded to Examiner
03-08-2005	Response after Non-Final Action
03-22-2005	Case Docketed to Examiner in GAU
01-26-2005	Mail Non-Final Rejection
01-24-2005	Non-Final Rejection
11-17-2004	IFW TSS Processing by Tech Center Complete
11-17-2004	Date Forwarded to Examiner
10-12-2004	Response after Non-Final Action

02-19-2003	Reference capture on IDS
07-16-2004	Mail Non-Final Rejection
07-15-2004	Non-Final Rejection
02-26-2004	Information Disclosure Statement (IDS) Filed
05-26-2004	Date Forwarded to Examiner
04-29-2004	Response after Non-Final Action
04-29-2004	Workflow incoming amendment IFW
04-16-2004	Mail Examiner Interview Summary (PTOL - 413)
04-08-2004	Examiner Interview Summary Record (PTOL - 413)
02-26-2004	Mail Non-Final Rejection
02-23-2004	Non-Final Rejection
12-12-2003	Date Forwarded to Examiner
12-09-2003	Response after Non-Final Action
12-09-2003	Mail Examiner Interview Summary (PTOL - 413)
12-04-2003	Examiner Interview Summary Record (PTOL - 413)
09-11-2003	Mail Non-Final Rejection
09-08-2003	Non-Final Rejection
07-01-2003	Date Forwarded to Examiner
07-01-2003	Date Forwarded to Examiner
06-24-2003	Request for Continued Examination (RCE)
07-01-2003	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CPA)
06-24-2003	Workflow - Request for RCE - Begin
06-20-2003	Mail Examiner Interview Summary (PTOL - 413)
06-17-2003	Examiner Interview Summary Record (PTOL - 413)
04-24-2003	Mail Final Rejection (PTOL - 326)
04-21-2003	Final Rejection
02-19-2003	Information Disclosure Statement (IDS) Filed
02-27-2003	Date Forwarded to Examiner
02-19-2003	Response after Non-Final Action
02-19-2003	Request for Extension of Time - Granted
10-04-2002	Case Docketed to Examiner in GAU
08-15-2002	Mail Non-Final Rejection
08-12-2002	Non-Final Rejection
07-25-2002	Case Docketed to Examiner in GAU
10-06-2000	Case Docketed to Examiner in GAU
12-29-1999	Case Docketed to Examiner in GAU
10-07-1999	Application Dispatched from OIPE
10-06-1999	Application Is Now Complete
07-12-1999	Notice Mailed-Application Incomplete-Filing Date Assigned
07-06-1999	IFW Scan & PACR Auto Security Review
06-22-1999	Initial Exam Team nn





# **United States** Patent and Trademark Office

### **Deposit Account Statement**

**Requested Statement Month:** 

April 2005

**Deposit Account Number:** 

500388

Name:

BEYER, WEAVER & THOMAS LLP

Attention:

ACCOUNTING DEPARTMENT

Address:

590 W. EL CAMINO REAL **MOUNTAIN VIEW** 

City:

CA

State:

94040

Zip: Country:

**UNITED STATES OF AMERICA** 

DATE			ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	3	PCT/US04/18173		9204	-\$12.00	\$23,774.00
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